

Substitute for form 1449A/PTO (modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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NOV 29 2004

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Application Number

10/727,024

Filing Date

January 20, 2004

First Named Inventor

Ouderkirk, Andrew J.

Art Unit

2875

Examiner Name

Unknown

Attorney Case Number

59417US002

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
KA	A1	US- 2001-001207	5/17/01	Shimizu et al.	
KA	A2	US- 2001-0013668	8/16/01	Neavin et al.	
KA	A3	US- 2002-0017651	2/14/02	Kato et al.	
KA	A4	US- 2002-0043926	4/18/02	Takahashi et al.	
KA	A5	US- 2002-0054406	5/09/02	Duerksen	
KA	A6	US- 2002-0063520	5/30/02	Yu et al.	
KA	A7	US- 2002-0079837	6/27/02	Okazaki	
KA	A8	US- 2002/0084748	7/4/02	Ayala et al.	
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KA	A10	US- 2002-0085601	7/04/02	Wang et al.	
KA	A11	US- 2002-0121645	9/05/02	Yasukawa et al.	
KA	A12	US- 2002-0140880	10/03/02	Weindorf et al.	
KA	A13	US- 2002/0154406	10/24/02	Merrill et al.	
KA	A14	US- 2002/0180351	12/5/02	McNulty et al.	
KA	A15	US- 2003-0002272	1/02/03	Suehiro et al.	
KA	A16	US- 2003-0038295	2/27/03	Koda	
KA	A17	US- 2003/0217806	11/27/03	Tait et al.	
KA	A18	US- 2004-0116033	06/17/04	Ouderkirk et al.	
KA	A19	US- 2004-0144987	7/29/04	Ouderkirk et al.	
KA	A20	US- 2004-0145288	7/29/04	Ouderkirk et al.	
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KA	A27	US- 2004-0150997	8/05/04	Ouderkirk et al.	
KA	A28	US- 5,540,978	7/30/96	Schrenk	

\*Examiner: 

Date Considered: 2/5/06

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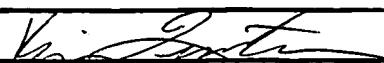
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Application Number	10/727,026
Filing Date	January 20, 2004
First Named Inventor	Ouderkirk, Andrew J.
Art Unit	2875
Examiner Name	Unknown
Attorney Case Number	59417US002

## U.S. Patent Documents

Exam. Invl.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A29	US- 5,540,987	7/30/96	Mudge et al.	
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	A31	US- 5,813,752	9/29/98	Singer et al.	
	A32	US- 5,813,753	9/29/98	Vriens et al.	
	A33	US- 5,831,375	11/03/98	Benson, Jr.	
	A34	US- 5,882,774	3/16/99	Jonza et al.	
	A35	US- 6,010,751	1/4/00	Shaw et al.	
	A36	US- 6,101,032	8/08/00	Wortman et al.	
	A37	US- 6,155,699	12/05/00	Miller et al.	
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	A55	US- 6,601,962	8/05/03	Ehara et al.	
✓	A56	US- 6,635,903	10/21/03	Kato et al.	

\*Examiner:  Date Considered: 2/5/04

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Application Number

10/727,026

Filing Date

January 20, 2004

First Named Inventor

Ouderkirk, Andrew J.

Art Unit

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✓	A57	US- 6,744,077	6/01/04	Trottier et al.	

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✓	B1	DE	199 51 656 A1	8/31/00			X
	B2	EP	0733919 A2	9/25/96			
	B3	EP	0795941 A1	9/17/97			
	B4	EP	EP 1 119 058 A1	7/25/01			
	B5	GB	GB 2 373 368 A	9/18/02			
	B6	WO	97/01778	1/16/97			
	B7	WO	97/10278 English Abstract	3/20/97			
	B8	WO	WO 97/48138	12/18/97			
	B9	WO	WO 99/36248	7/22/99			
	B10	WO	WO 99/60303	11/25/99			
	B11	WO	WO 01/39286	5/31/01			
	B12	WO	WO 01/75490	10/11/01			
	B13	WO	WO 02/37568	5/10/02			
	B14	WO	WO 03/029722	4/10/03			
	B15	WO	WO 04/021461	3/11/04			
	B16	JP	JP 11274572 English Abstract	10/08/99			
↓	B17	JP	JP 2004031843 English Abstract	1/29/04			
	B18						

## OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
✓	C1	US Application No. 60/407,426, Soules et al., "Coated LED With Improved Efficiency," filed August 30, 2002.	

\*Examiner: 

Date Considered: 2/5/04

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Application Number	10/727,026
Filing Date	January 20, 2004
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Art Unit	2875
Examiner Name	Unknown
Attorney Case Number	59417US002

## OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C2	US Application No. 10/726244; Simbal, John J.; "Reflective Light Coupler"; filed 12/2/2003.	
	C3	US Application No. 10/727220; Schultz, John C.; "Illumination Assembly"; filed 12/2/2003.	
	C4	US Application No. 10/726225; Henson, Gordon D.; "Solid State Light Device"; filed 12/2/2003.	
	C5	US Application No. 10/726248; Watson, James E.; "Multiple LED Source and Method for Assembling Same"; filed 12/2/2003.	
	C6	US Application No. 10/739792; Onderkirk, Andrew J.; "Display Including a Solid State Light Device and Method Using Same"; filed 12/18/2003.	
	C7	US Application No. 10/726257; Aguirre, Francis M.; "LED Curing Apparatus and Method"; filed 12/2/2003.	
	C8	Weber et al., "Giant Birefringent Optics in Multilayer Polymer Mirrors", Science, Vol.287, March 31, 2000, pp. 2451-2456.	
	C9	Mueller, "White Light Emitting Diodes," Intertech '03, 22 pages.	
	C10	Haaren et al., "Recent Advances in Displays for Portable Products", ASET International Display Forum on Low Power Displays, 21 July 2000, Tokyo (Japan), pp. 1-6, XP-002289713.	

\*Examiner: 

Date Considered: 2/5/04

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Substitute for form 1449A/PTO (modified)		Application Number	10/727026 DEC 30 2005
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date	December 2, 2003
(Use as many sheets as necessary)		First Named Inventor	Ouderkirk, Andrew J.
Page 1 of 1		Art Unit	2879
		Examiner Name	Quartermann, Kevin J.
		Attorney Case Number	59417US002

U.S. Patent Documents						
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Doc. Number-(Kind Code If Known)				
<i>KA</i>	A1	US- 6,653,765	11/25/2003	Levinson et al.		
<i>KA</i>	A2	US- 6,686,676	02/03/2004	McNulty et al.		

Foreign Patent Documents						
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		Ctry. Code	Number-KindCode (if known)			
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OTHER DOCUMENTS						
Exam. Init.*	Cite No.	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				Translation (Check if yes)
	C1					

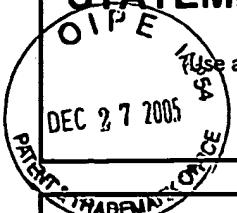
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*Examiner: <i>Kevin Quartermann</i>	Date Considered: 2/5/06
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Information Disclosure Statement)

PAGE 4/5 \* RCVD AT 12/30/2005 5:05:21 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/24 \* DUS:2738300 \* CSID:6517364517 \* DURATION (mm:ss):01:18

Substitute for form 1449A/PTO (modified)		Application Number	10/727026
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date	December 2, 2003
(Use as many sheets as necessary)		First Named Inventor	Onderkirk, Andrew J.
DEC 27 2005		Art Unit	2879
Page 1 of 1		Examiner Name	Quartermann, Kevin J.
		Attorney Case Number	59417US002



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	A1	US-			

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	B1						

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<i>KL</i>	C1	Office Action for U.S. Serial 10/727,072 (Attorney Docket No. 59416US002), mailed on September 15, 2005.	
<i>KL</i>	C2	Amendment and Response to Office Action for U.S. Serial No. 10/727,072 (Attorney Docket No. 59416US002), dated December 15, 2005.	

\*Examiner: *Kevin L. Quartermann*

Date Considered: 2/6/04

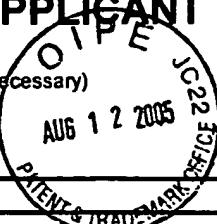
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Application Number

10/727026

Filing Date

December 2, 2002

First Named Inventor

Ouderkirk, Andrew J.

Art Unit

2875

Examiner Name

Dunwiddie, Meghan K.

Attorney Case Number

59417US002

## U.S. Patent Documents

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		Doc. Number-(Kind Code if Known)			
KQ	A1	US- 6,652,996	11/25/2003	Steklenski et al.	
KQ	A2	US- 6,717,348	04/06/2004	Takahashi	

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KQ	C1	Inquiry Documents, 54 pages, 2001.	

\*Examiner: 

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